

**ANDREW A. SCHWARTZ**  
University of Colorado Law School  
Wolf Law Building, 401 UCB, Boulder, CO 80309  
andrew.schwartz@colorado.edu  
(303) 492-8724

ACADEMIC APPOINTMENTS	UNIVERSITY OF COLORADO Professor of Law, 2017-present Associate Professor of Law, 2008-17 (tenured in 2014)
	UNIVERSITY OF AUCKLAND Visiting Professor of Law, 2017, 2020-21
EDUCATION	COLUMBIA UNIVERSITY, J.D., 2001 <i>Columbia Law Review</i> , Senior Editor James Kent Scholar (highest honors) – 1L, 2L, 3L Class of 1912 Prize in Contracts Research Assistant to Professor E. Allan Farnsworth Parker School of Foreign and Comparative Law Certificate
	BROWN UNIVERSITY, Sc.B. in Civil Engineering, 1998 Men's Ultimate (captain, national semifinalist)
JUDICIAL CLERKSHIPS	UNITED STATES COURT OF APPEALS, NINTH CIRCUIT Judge William A. Fletcher, 2003-04
	UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF NEW YORK Judge Naomi Reice Buchwald, 2001-02
LEGAL PRACTICE	WACHTELL, LIPTON, ROSEN & KATZ Associate, 2002-03, 2005-08 Summer Associate, 2000
HONORS AND AWARDS	UNIVERSITY OF COLORADO LAW SCHOOL GOLDSTEIN FELLOW, 2019-20
	UNITED STATES FULBRIGHT RESEARCH SCHOLAR (NEW ZEALAND), 2017
	UNIVERSITY OF SYDNEY PARSONS FELLOW, 2017
	UNIVERSITY OF COLORADO PROVOST'S FACULTY ACHIEVEMENT AWARD, 2015
	UNIVERSITY OF COLORADO LAW SCHOOL OUTSTANDING NEW FACULTY AWARD, 2009

- BOOK
- INVESTMENT CROWDFUNDING  
OXFORD UNIVERSITY PRESS (forthcoming 2022)
- ARTICLES
- Contracts Capsized by COVID-19: A Legal and Jewish Ethical Analysis*  
\_\_ JOURNAL OF BUSINESS ETHICS \_\_ (forthcoming 2021) (accepted pending revisions) (with Tsurriel Rashi)
- Peer reviewed
- Mandatory Disclosure in Primary Markets*  
2019 UTAH LAW REVIEW 1069-1103
- Funded by United States Fulbright Research Scholar Award, 2017
- The Gatekeepers of Crowdfunding*  
75 WASHINGTON AND LEE LAW REVIEW 885-955 (2018)
- Reprinted in 61 CORPORATE PRACTICE COMMENTATOR 187 (2019)  
Funded by United States Fulbright Research Scholar Award, 2017
- Equity Crowdfunding in New Zealand*  
2018 NEW ZEALAND LAW REVIEW 243-74
- Funded by United States Fulbright Research Scholar Award, 2017  
Peer reviewed
- Financing Corporate Elections*  
41 JOURNAL OF CORPORATION LAW 863-925 (2016)
- The Digital Shareholder*  
100 MINNESOTA LAW REVIEW 609-85 (2015)
- Winner, Federalist Society Junior Scholars Colloquium, 2015  
Reprinted in 58 CORPORATE PRACTICE COMMENTATOR 593 (2017)  
Replied to in Seth C. Oranburg, *A Place of Their Own: Crowds in the New Market for Equity Crowdfunding*, 100 MINNESOTA LAW REVIEW HEADNOTES 147 (2016)
- Corporate Legacy*  
5 HARVARD BUSINESS LAW REVIEW 237-80 (2015)

*Crowdfunding Securities*  
88 NOTRE DAME LAW REVIEW 1457-90 (2013)

Reprinted in 69 CONSUMER FINANCE LAW QUARTERLY REPORT 154  
(2016)

*The Corporate Preference for Trade Secret*  
74 OHIO STATE LAW JOURNAL 623-68 (2013)

Funded by Northwestern University Honorarium, 2013  
Reprinted in INTELLECTUAL PROPERTY LAW REVIEW (West 2014)  
Cited in *Altavion, Inc. v. Konica Minolta Sys. Lab. Inc.*, 171 Cal.  
Rptr. 3d 714 (Ct. App. 2014)

*The Perpetual Corporation*  
80 GEORGE WASHINGTON LAW REVIEW 764-830 (2012)

Winner, Federalist Society Young Legal Scholars Competition, 2012  
Cited in *In re Lehman Bros. Sec. & ERISA Litig.*, 131 F. Supp. 3d  
241 (S.D.N.Y. 2015); *In re Rural/Metro Corp.*, 88 A.3d 54 and 102  
A.3d 205 (Del. Ch. 2014); *In re Orchard Enterprises*, 88 A.3d 1  
(Del. Ch. 2014); *In re Trados Inc.*, 73 A.3d 17 (Del. Ch. 2013)

*Consumer Contract Exchanges and the Problem of Adhesion*  
28 YALE JOURNAL ON REGULATION 313-66 (2011)

Winner, Federalist Society Young Legal Scholars Competition, 2011

*A "Standard Clause Analysis" of the Frustration Doctrine and the  
Material Adverse Change Clause*  
57 UCLA LAW REVIEW 789-839 (2010)

Honorable Mention, Association of American Law Schools  
Scholarly Paper Competition, 2010  
Cited in *AB Stable VIII LLC v. MAPS Hotel and Resorts One LLC*,  
2020 WL 7024929 (Del. Ch. 2020); *Akorn, Inc. v. Fresenius Kabi  
AG*, 2018 WL 4719347 (Del. Ch. 2018), *aff'd*, 198 A.3d 724 (Del.  
2018); *Travelport Ltd. & Ors v. WEX Inc.* [2020] EWHC (Comm)  
2670

*The Patent Office Meets the Poison Pill:  
Why Legal Methods Cannot Be Patented*  
20 HARVARD JOURNAL OF LAW AND TECHNOLOGY 333-72 (2007)

Reprinted in INTELLECTUAL PROPERTY LAW REVIEW (West 2008);  
90 JOURNAL OF THE PATENT AND TRADEMARK OFFICE SOCIETY 194  
(2008)  
Cited in *In re Bilski*, 545 F.3d 943 (Fed. Cir. 2008) (en banc)  
(Mayer, J., dissenting), *aff'd sub. nom. Bilski v. Kappos*, 561 U.S.  
593 (2010); Brief for Director of the United States Patent Office, *In  
re Shore*, 669 Fed. Appx. 562 (Fed. Cir. 2016)

ESSAYS,  
RESPONSES, AND  
SYMPOSIUM PIECES

*Contracts and COVID-19*  
73 STANFORD LAW REVIEW ONLINE 48-60 (2020) (essay)

*Crowdfunding Issuers in the United States*  
61 WASHINGTON UNIVERSITY JOURNAL OF LAW & POLICY 155-71  
(2020) (symposium)

*Inclusive Crowdfunding*  
2016 UTAH LAW REVIEW 661-74 (symposium)

*The Nonfinancial Returns of Crowdfunding*  
34 REVIEW OF BANKING & FINANCIAL LAW 565-80 (2015) (symposium)

*Quarterback by Committee: A Response in Memory of Dan Markel*  
6 HARVARD JOURNAL OF SPORTS AND ENTERTAINMENT LAW 159-68  
(2015) (response)

*Arbitration and the Contract Exchange*  
29 OHIO STATE JOURNAL ON DISPUTE RESOLUTION 299-331 (2014)  
(essay)

Funded by George Mason University Honorarium, 2013

*Teenage Crowdfunding*  
83 UNIVERSITY OF CINCINNATI LAW REVIEW 515-28 (2014)  
(symposium)

*Keep it Light, Chairman White:  
SEC Rulemaking Under the CROWDFUND Act*  
66 VANDERBILT LAW REVIEW EN BANC 43-62 (2013) (roundtable)

Excerpted in THERESE MAYNARD AND DANA M. WARREN,  
BUSINESS PLANNING: FINANCING THE START-UP BUSINESS AND  
VENTURE CAPITAL (Aspen Publishers, 2d ed. 2014)  
Cited in SEC's proposed Regulation Crowdfunding (2013)

*Rural Crowdfunding*  
13 U.C. DAVIS BUSINESS LAW JOURNAL 283-94 (2013) (symposium)

*Old Enough to Fight, Old Enough to Swipe:  
A Critique of the Infancy Rule in the Federal Credit CARD Act*  
2011 UTAH LAW REVIEW 407-32 (competitively selected symposium)

Discussed in E. ALLAN FARNSWORTH ET AL., CASES AND  
MATERIALS ON CONTRACTS (Foundation Press, 9th ed. 2019)

*Patents on Legal Methods? No Way!*  
107 COLUMBIA LAW REVIEW SIDEBAR 1-3 (2007) (essay)

Inaugural essay for new online publication

BOOK CHAPTER

*Social Enterprise Crowdfunding in New Zealand*  
THE CAMBRIDGE HANDBOOK OF SOCIAL ENTERPRISE LAW 209  
(Cambridge University Press, Yockey & Means eds. 2018)

Funded by United States Fulbright Research Scholar Award, 2017

*COVID-19: Impossible Contracts and Force Majeure*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, August 11, 2020

Reprinted in OXFORD BUSINESS LAW BLOG, Sept. 15, 2020;  
CONTRACTSPROF BLOG, Sept. 8, 2020

*Which Companies Crowdfund?*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, May 29, 2020

Reprinted in OXFORD BUSINESS LAW BLOG, June 10, 2020

*Breaking contracts over coronavirus: Can you argue it's an 'act of God'?*  
THE CONVERSATION, Mar. 31, 2020

*Voluntary Disclosure for Primary Securities Offerings*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, Mar. 3, 2020

Reprinted in OXFORD BUSINESS LAW BLOG, Mar. 5, 2020;  
SECURITIES REGULATION DAILY, Mar. 19, 2020

*Equity Crowdfunding in New Zealand*  
OXFORD BUSINESS LAW BLOG, Oct. 30, 2018

*Resolving the Tension Between Efficiency and Inclusiveness in Crowdfunding*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, Sept. 27, 2018

*Inclusive Crowdfunding*  
OXFORD BUSINESS LAW BLOG, Jan. 3, 2017

*Financing Corporate Elections*  
HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION, June 21, 2016

*Crowdfunding and the Digital Shareholder*  
HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION, Feb. 2, 2016

*The Iliad and the IPO*  
HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION, Aug. 12, 2015

Selected by THE BROWSER as one of the 100 best pieces of writing published online in 2015

*Young Hearts Be Free Tonight: The Promise of Teenage Crowdfunding*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, June 12, 2015

*Crowdfunding Securities: Recommendations for SEC Rulemaking*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, July 12, 2013

*Crowdfunding Securities: Two Novel Predictions*  
COLUMBIA LAW SCHOOL BLUE SKY BLOG, June 26, 2013

PRACTITIONER  
PUBLICATIONS

*Equity Crowdfunding in New Zealand*  
ROCKY MOUNTAIN SECURITIES CONFERENCE, May 2018

*The Nonfinancial Returns of Crowdfunding*  
COLORADO BAR ASSOCIATION BUSINESS LAW NEWSLETTER, Sept. 2015

*Eternal Sunshine of the Corporate Legacy*  
LOS ANGELES DAILY JOURNAL, Aug. 17, 2015

*Crowdfunding Securities Under the CROWDFUND Act*  
COLORADO BAR ASSOCIATION BUSINESS LAW NEWSLETTER, Oct. 2013

Reprinted in CBA-CLE LEGAL CONNECTION, Nov. 4, 2013

*Regulation Goes Medieval*  
REGULATION, Spring 2012

*Contract Drafting and Interpretation*  
COLORADO BAR ASSOCIATION BUSINESS LAW INSTITUTE (2012)

*A Critique of the Infancy Rule in the Federal Credit Card Act of 2009*  
31 BANKING & FINANCIAL SERVICES POLICY REPORT, No. 5, at 1 (2012)

*Mergers and Acquisitions: Material Adverse Change Clauses*  
COLORADO BAR ASSOCIATION BUSINESS LAW INSTITUTE (2008)

*Tax Strategies Are Not Patentable Inventions*  
ABA INTELLECTUAL PROPERTY NEWSLETTER, Vol. 25, No. 1 (2006)

Wachtell, Lipton, Rosen & Katz client memoranda (2006-07)

PUBLIC COMMENT  
LETTERS

Letter to UNITED STATES SECURITIES AND EXCHANGE COMMISSION on Proposed Rule on Facilitating Capital Formation and Expanding Investment Opportunities by Improving Access to Capital in Private Markets (File No. S7-05-20), May 21, 2020

Letter to UNITED STATES SECURITIES AND EXCHANGE COMMISSION on Harmonization of Securities Offering Exemptions (File No. S7-08-19), Sept. 24, 2019

Adopted in part in UNITED STATES SECURITIES AND EXCHANGE COMMISSION Final Rule, Facilitating Capital Formation and Expanding Investment Opportunities by Improving Access to Capital in Private Markets, Nov. \_\_, 2020

Letter to BRITISH COLUMBIA SECURITIES COMMISSION on the Securities Law Framework for Fintech Regulation (BCN2018/01), Apr. 3, 2018

Letters to UNITED STATES SECURITIES AND EXCHANGE COMMISSION on Disclosure of Third-Party Director Compensation (File No. SR-NASDAQ-2016-13), Apr. 25 and 26, 2016

Letter to INSTITUTIONAL SHAREHOLDER SERVICES on Unilateral Board Actions, Nov. 9, 2015

Letter to UNITED STATES SECURITIES AND EXCHANGE COMMISSION on Proposed Regulation Crowdfunding (File No. S7-09-13), Jan. 27, 2014

Letter to UNITED STATES SECURITIES AND EXCHANGE COMMISSION on Regulatory Initiatives Under the JOBS Act: Title III – Crowdfunding, June 13, 2013

POPULAR PRESS

Quoted in *Bloomberg Law*, *Los Angeles Times*, *Sydney Morning Herald*, *Las Vegas Sun*, *Denver Post*, *ABA Journal*, *Law360*, *International Business Times*, *National Business Review* (New Zealand), *Denver Business Journal*, *Law Week Colorado* and others

CONFERENCES  
ORGANIZED

EQUITY CROWDFUNDING SYMPOSIUM, University of Auckland, 2017

JUNIOR BUSINESS LAW COLLOQUIUM, University of Colorado, 2011-15

Founder; conference continues to convene annually



SCHOLARLY  
PRESENTATIONS

*Three Fundamental Problems with Stakeholder Governance*

CORPORATE LAW TEACHERS ASSOCIATION, Annual Conference,  
University of Sydney Law School, Feb. 2021 (anticipated)

*Frustration, the MAC Clause, and COVID-19*

Advanced Corporate and Securities Law Seminar, UNIVERSITY  
COLORADO LAW SCHOOL, Jan. 2021 (anticipated)

*The Law of Envy*

Staff Seminar, UNIVERSITY OF AUCKLAND LAW SCHOOL, Sept.  
2020

*Mandatory Disclosure in Primary Markets*

NEW ZEALAND FINANCIAL MARKETS AUTHORITY, Oct. 2020

VICTORIA UNIVERSITY OF WELLINGTON, Oct. 2020 (funded by  
honoraria from the Banking and Financial Services Law  
Association and the Institute for Humane Studies)

Work-in-Progress Presentation, Annual Faculty Conference,  
FEDERALIST SOCIETY, Jan. 2019

Advanced Topics in Corporate and Securities Law Workshop,  
UCLA SCHOOL OF LAW, Mar. 2018

Faculty Workshop, WAKE FOREST UNIVERSITY SCHOOL OF LAW,  
Feb. 2018

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
Nov. 2017

*Equity Crowdfunding in New Zealand*

Workshop on Comparative Business and Financial Law,  
AMERICAN SOCIETY OF COMPARATIVE LAW YOUNGER  
COMPARATIVISTS COMMITTEE, Fordham University Law School,  
Feb. 2018 (competitively selected on a blind basis)

NEW ZEALAND PARLIAMENT, MINISTER OF COMMERCE AND  
CONSUMER AFFAIRS, Wellington, June 2017

NEW ZEALAND FINANCIAL MARKETS AUTHORITY, June 2017

Equity Crowdfunding Symposium, NEW ZEALAND RESEARCH  
CENTRE FOR LAW AND BUSINESS, University of Auckland Law  
School, June 2017

LEGAL RESEARCH FOUNDATION, Auckland, May 2017

Staff Seminar, UNIVERSITY OF AUCKLAND LAW SCHOOL, May  
2017

Law & Business Seminar, Ross Parsons Centre of Commercial,  
Corporate and Taxation Law, UNIVERSITY OF SYDNEY LAW  
SCHOOL, at the AUSTRALIAN SECURITIES AND INVESTMENTS  
COMMISSION, Sydney, Mar. 2017 (comments by Phillipa Stone)

*The Gatekeepers of Crowdfunding*

FutureLaw Workshop, DUQUESNE UNIVERSITY SCHOOL OF LAW,  
Oct. 2016

*The Classic Corporation*

Faculty Roundtable, CORNELL CLUB, Apr. 2016

*Financing Corporate Elections*

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
Nov. 2015

JUNIOR BUSINESS LAW CONFERENCE, UNIVERSITY OF COLORADO  
LAW SCHOOL, July 2015 (comments by Kathryn Judge)

*Inclusive Crowdfunding*

Symposium, UTAH LAW REVIEW, Oct. 2015

*The Distant Gaze of the Corporate Board*

Section on Business Associations, Annual Meeting, ASSOCIATION OF AMERICAN LAW SCHOOLS, Jan. 2015 (competitively selected on a blind basis) (comments by Christine Hurt and Robert Thompson)

*The Digital Shareholder*

Junior Scholars Colloquium, FEDERALIST SOCIETY, June 2015 (competitively selected) (comments by Keith Hylton)

Section on Transactional Law and Skills, Annual Meeting, ASSOCIATION OF AMERICAN LAW SCHOOLS, Jan. 2015 (competitively selected)

Work-in-Progress Presentation, Annual Faculty Conference, FEDERALIST SOCIETY, Jan. 2015

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL, Oct. 2014

Staff Meeting, UNITED STATES SECURITIES AND EXCHANGE COMMISSION, Oct. 2014

*The Nonfinancial Returns of Crowdfunding*

Symposium, REVIEW OF BANKING & FINANCIAL LAW, Boston University, Feb. 2015

*Crowdfunding Securities*

Office of Dong-Woo Shin, Member, NATIONAL ASSEMBLY OF THE REPUBLIC OF KOREA, June 2014

Faculty Workshop, SEOUL NATIONAL UNIVERSITY SCHOOL OF LAW, June 2014

INTERNATIONAL CONFERENCE ON CURRENT REGULATORY ISSUES ON CROWDFUNDING, KONKUK UNIVERSITY, Seoul, June 2014

KOREAN SECURITIES LAW ASSOCIATION, Seoul, June 2014

KOREA CONSUMER AGENCY, Seoul, June 2014

### *Teenage Crowdfunding*

Annual Corporate Law Symposium, UNIVERSITY OF CINCINNATI  
LAW REVIEW, Mar. 2014

### *Corporate Legacy*

JUNIOR BUSINESS LAW CONFERENCE, UNIVERSITY OF COLORADO  
LAW SCHOOL, July 2014 (comments by John Morley)

Work-in-Progress Presentation, Annual Faculty Conference,  
FEDERALIST SOCIETY, Jan. 2014 (funded by an honorarium from  
the Institute for Humane Studies)

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
Oct. 2013

### *Arbitration and the Contract Exchange*

FEDERALIST SOCIETY JUNIOR SCHOLARS WORKSHOP, UNIVERSITY  
OF IOWA LAW SCHOOL, Aug. 2013

JUNIOR BUSINESS LAW CONFERENCE, University of Colorado Law  
School, July 2013 (comments by Anthony Casey)

LAW AND ECONOMICS OF ARBITRATION AND ALTERNATIVE  
DISPUTE RESOLUTION PUBLIC POLICY WORKSHOP, George Mason  
University Law & Economics Center, Jan. 2013 (competitively  
selected)

### *The Corporate Preference for Trade Secret*

CONFERENCE ON INNOVATION AND ENTREPRENEURSHIP: USPTO-  
Kauffman Foundation Conference on Intellectual Property and  
Entrepreneurship, Northwestern University School of Law, June  
2013 (comments by Jay Kesan) (competitively selected)

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
Jan. 2013

Annual Meeting, MIDWEST LAW AND ECONOMICS ASSOCIATION,  
Washington University School of Law, Oct. 2012

JUNIOR BUSINESS LAW CONFERENCE, University of Colorado Law  
School, July 2012 (comments by Robert Jackson)

*Rural Crowdfunding*

Symposium, U.C. DAVIS BUSINESS LAW JOURNAL, Feb. 2013

*Old Enough to Fight, Old Enough to Swipe:  
A Critique of the Infancy Rule in the Federal Credit CARD Act*

INTERNATIONAL CONFERENCE ON CONTRACTS, Thomas Jefferson  
School of Law, Mar. 2012

*The Perpetual Corporation*

Young Legal Scholars Paper Presentations, Annual Faculty  
Conference, FEDERALIST SOCIETY, Jan. 2012 (competitively  
selected) (comments by Todd Henderson)

VANDERBILT LAW AND BUSINESS WORKSHOP, Vanderbilt Law  
School, Oct. 2011

Faculty Colloquium, UNIVERSITY OF DENVER STURM COLLEGE OF  
LAW, Sept. 2011

JUNIOR BUSINESS LAW CONFERENCE, University of Colorado Law  
School, July 2011 (comments by Richard Squire)

MIDWEST CORPORATE LEGAL SCHOLARS CONFERENCE, Ohio  
State Moritz College of Law, June 2011

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
June 2011

*Consumer Contract Exchanges and the Problem of Adhesion*

INTERNATIONAL CONFERENCE ON CONTRACTS, Stetson University  
College of Law, Feb. 2011

Deals Colloquium, UNIVERSITY OF COLORADO LAW SCHOOL,  
Feb. 2011

Young Legal Scholars Paper Presentations, Annual Faculty  
Conference, FEDERALIST SOCIETY, Jan. 2011 (competitively  
selected) (comments by Richard Epstein)

Annual Meeting, MIDWEST LAW AND ECONOMICS ASSOCIATION,  
University of Colorado Law School, Oct. 2010

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
July 2010

*A “Standard Clause Analysis” of the Frustration Doctrine and the  
Material Adverse Change Clause*

INTERNATIONAL CONFERENCE ON CONTRACTS, UNLV Boyd  
School of Law, Feb. 2010

Scholarly Papers Competition Award Ceremony, Annual  
Meeting, ASSOCIATION OF AMERICAN LAW SCHOOLS, Jan. 2010  
(competitively selected on a blind basis)

Faculty Workshop, UNIVERSITY OF COLORADO LAW SCHOOL,  
Feb. 2009

COLORADO BAR ASSOCIATION BUSINESS LAW INSTITUTE, Sept.  
2008

PANELS, DEBATES  
AND DISCUSSIONS

“COVID-19 and Contracts,” Mini Law School, UNIVERSITY OF COLORADO, Feb. 2021 (anticipated)

“COVID and the Law: Contract Litigation,” VANDERBILT LAW SCHOOL, Sept. 2020

“Access to Capital and Talent,” Conference on Entrepreneurship in Rural America, SILICON FLATIRONS, Oct. 2019

“Equity Crowdfunding,” COLORADO LAW TALKS, Sept. 2019

Reported in Jessica Folker, *Crowdfunding for Companies*, LAW WEEK COLORADO, Sept. 16, 2019

“Corporate Responsibility: Maximizing Shareholder Benefit v. Social Justice,” FEDERALIST SOCIETY EXECUTIVE BRANCH REVIEW CONFERENCE, May 2019

Small Business Roundtable, SEC OFFICE OF THE ADVOCATE FOR SMALL BUSINESS CAPITAL FORMATION, Denver, May 2019

Senior Mentor, FutureLaw Workshop, DUQUESNE UNIVERSITY SCHOOL OF LAW, Nov. 2018

“Equity Crowdfunding,” COLORADO LAW TECHNOLOGY JOURNAL, Sept. 2018

“Capital Formation in Small Business,” FIFTIETH ANNUAL ROCKY MOUNTAIN SECURITIES CONFERENCE, May 2018

Colorado Crowdfunding Forum, COLORADO DIVISION OF SECURITIES, UNIVERSITY OF COLORADO DENVER, Oct. 2017

Equity Crowdfunding Symposium, New Zealand Research Centre for Law and Business, UNIVERSITY OF AUCKLAND LAW SCHOOL, June 2017

“Crowdfunding Securities,” BOULDER BAR ASSOCIATION BUSINESS SECTION, Sept. 2014

Junior Faculty Business and Financial Law Workshop, GEORGE WASHINGTON UNIVERSITY LAW SCHOOL CENTER FOR LAW, ECONOMICS & FINANCE, Feb. 2014

Attorneys’ Panel on the JOBS Act, BUTTONWOOD INVESTMENT SERVICES DUE DILIGENCE CONFERENCE, Jan. 2014

“Novel Ways to Raise Capital Under the JOBS Act,” COLORADO BAR ASSOCIATION SECURITIES SUBSECTION, Nov. 2013

“Game Changer: The Impact of the JOBS Act,” VIRGINIA LAW & BUSINESS REVIEW, Apr. 2013

“Business Law and Contracts,” Mini Law School, UNIVERSITY OF COLORADO, Nov. 2012

“Contract Drafting and Interpretation,” COLORADO BAR ASSOCIATION BUSINESS LAW INSTITUTE, Oct. 2012

“Circumventing the Securities Acts,” Annual Symposium, OHIO STATE ENTREPRENEURIAL BUSINESS LAW JOURNAL, Apr. 2012

Discussion of Legislation Addressing Tax Strategy Patents, Task Force on Patenting Tax Strategies, Section of Taxation and Section of Real Property, Trust & Estate Law, Trust & Estate Division Joint Meeting, AMERICAN BAR ASSOCIATION, Oct. 2011

“The Credit Card Accountability Responsibility and Disclosure Act (CARD Act) of 2009,” Section on Commercial and Related Consumer Law, Annual Meeting, ASSOCIATION OF AMERICAN LAW SCHOOLS, Jan. 2011 (competitively selected)

“The Business of Tax Patents: At the Crossroads of Patent, Tax and Business Law,” Open Source Program, Annual Meeting, ASSOCIATION OF AMERICAN LAW SCHOOLS, Jan. 2009

“Can and Should Tax/ERISA Strategies Be Patented,” PRACTICING LAW INSTITUTE, Oct. 2007 (live webcast)

“The Outer Limits of Patentable Subject Matter,” Annual Symposium, HARVARD JOURNAL OF LAW & TECHNOLOGY, Mar. 2007



COURSES AT THE  
UNIVERSITY OF  
COLORADO

CONTRACTS (LAWS 5121), four credit hours

Fall 2021: (anticipated)  
Fall 2019: 59 students  
Fall 2018: 56 students  
Fall 2017: 62 students  
Fall 2016: 56 students  
Fall 2015: 68 students  
Fall 2013: 58 students  
Fall 2011: 80 students  
Fall 2010: 59 students  
Fall 2009: 42 students  
Fall 2008: 81 students

CORPORATIONS (LAWS 6211), three credit hours

Spring 2022: (anticipated)  
Fall 2019: 87 students  
Spring 2019: 73 students  
Spring 2018: 85 students  
Fall 2016: 96 students  
Fall 2015: 78 students  
Fall 2013: 68 students  
Spring 2013: 73 students  
Fall 2011: 85 students  
Spring 2010: 73 students  
Spring 2009: 60 students

ADVANCED CORPORATE LAW SEMINAR (LAWS 8251), two credit hours

Spring 2022: (anticipated)  
Spring 2021: 14 students  
Spring 2019: 12 students  
Spring 2018: 10 students  
Spring 2016: 12 students

MERGERS AND ACQUISITIONS (LAWS 7411), two credit hours

Spring 2014: 32 students  
Spring 2013: 39 students  
Fall 2010: 17 students  
Spring 2009: 18 students

INDEPENDENT LEGAL RESEARCH (LAWS 7846), two credit hours

Spring 2016: 1 student

BUSINESS LAW COLLOQUIUM (LAWS 8101), three credit hours

Spring 2012: 20 students

COURSES AT OTHER INSTITUTIONS	FINANCIAL MARKETS REGULATION, 2017, 2021 (at Univ. of Auckland) CIVIL PROCEDURE, 2004-05 (at U.C. Berkeley and Golden Gate) CRIMINAL SENTENCING, 2005 (at U.C. Berkeley)
MAJOR LAW SCHOOL SERVICE	Faculty Appointments Committee, 2010-11, 2018-19 Clinical Appointments Committee, 2018-19 Peer Evaluation Committee, 2018-20 Salary Grievance Committee, 2018-19 Academic and Student Affairs Committee, 2011-18 (Chair, 2015-16, 2018) Faculty Advisor, Federalist Society, 2018-19 Faculty Advisor, JD/MBA Dual Degree Program, 2009-13 Faculty Secretary, 2008-09
UNIVERSITY SERVICE	Academic Affairs Budget Advisory Committee, 2008-09, 2010, 2011-14, 2017+ Fulbright U.S. Student Program Campus Committee, 2019+ Global Engagement Advisory Team (GREAT), 2019+ Boulder Faculty Assembly Budget and Planning Committee, 2009-12
SERVICE TO THE LEGAL PROFESSION	Rocky Mountain Securities Conference Planning Committee, 2017-19 Colorado Bar Association, Business Law Section Executive Committee, Liaison to the University of Colorado Law School, 2013+ Heritage Foundation Securities Regulation Working Group, 2013+ Colorado Business Corporation Act Revision Committee, 2009-10
PUBLIC SERVICE	Founding Chair, Youth Eligibility Committee, USA Ultimate, 2003+ Volunteer, Chess Club, Flatirons Elementary School (Boulder), 2017-19 Director, Congregation Bonai Shalom (Boulder), 2012-14
BAR MEMBERSHIPS	New York, admitted in 2002 (active) United States Patent and Trademark Office, admitted in 2003 (active)