Very important – please read carefully

December 28, 2009

Policies
Securities Regulation (3 hours) — Spring 2010
Professor Loewenstein

Texts

The texts for the course will be:

1. Choi and Pritchard, SECURITIES REGULATION: CASES AND ANALYSIS (Foundation Press 2ND Ed.) (“Casebook”); and

Statutes and Rules

Please note that at the beginning of each chapter the authors have indicated the statutes and rules covered in that chapter. Please read them in connection with the materials that follow.

Assignments for the First Week

Assignments for the first week are as follows:

<table>
<thead>
<tr>
<th>Date</th>
<th>Casebook</th>
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<tbody>
<tr>
<td>Jan. 11</td>
<td>1-43</td>
</tr>
<tr>
<td>Jan. 13</td>
<td>44-67</td>
</tr>
</tbody>
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Attendance Policy, Class Participation, Semester’s Assignments, Laptops in Class

I expect people to attend and be prepared for each class session, as I hope to engage in a dialogue with the class. We will focus particularly on the Questions and Hypotheticals posed in Casebook.

A set of assignments for the first half of the course is posted on TWEN.

I will permit the use of laptops in class for the purpose of note taking only. It is inappropriate, and an abuse of this policy, to use your laptop for any other purpose.

Final Exam

The final exam will be a closed book exam, except that you should have with you a copy of the Statutory Supplement, unmarked (except for tabbing, underlining and highlighting) and unannotated. If you plan on annotating the Statutory Supplement, you should purchase an extra copy so that you’ll have a clean copy for the exam. The final exam will consist, at least in part, of multiple choice questions.

Office hours; contact information.
I am in my office (room 428) most of the day and do not post office hours. You are welcome to stop by at any time, except for the hour before our class meets. I am happy to make an appointment and you can contact me by phone (492-7102) or email: mark.loewenstein@colorado.edu.